Form PTO-1449 (modefied)

A#TY. DKT. NO. 5589-02306

SERIAL NO. 09/957,450

List of Patents and Publications
For Applicant's Information

APPLICANT: Levy et al.

GROUP: 2812

Disclosure Statement (Use several sheets if necessary)

FILING DATE: September 20, 2001

## **U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE II APPROPRIATE
Bry	A1	3,946,484	3/1976	Aronstein et al.			
<b>A</b>	A2	4,232,063	11/1980	Rosler et al.			
	A3	4,247,203	1/1981	Levy et al.		· · · · · · · · · · · · · · · · · · ·	
	A4	4,347,001	8/1982	Levy et al.			
	A5	4,378,159	3/1983	Galbraith			
•	A6	4,448,532	5/1984	Joseph et al.			
	A7	4,532,650	7/1985	Wihl et al.			
	A8	4,555,798	11/1985	Broadbent, Jr. et al.		<del></del>	
	A9	4,556,317	12/1985	Sandland et al.			
	A10	4,559,450	12/1985	Robinson et al.			
	A11	4,571,685	2/1986	Kamoshida			
	A12	4,578,589	3/1986	Aitken			
	A13	4,579,455	4/1986	Levy et al.			
ĺ	A14	4,579,463	4/1986	Rosencwaig et al.		·- · · · · · · · · · · · · · · · · · ·	
	A15	4,587,432	5/1986	Aitken			
	A16	4,599,558	7/1986	Castellano, Jr. et al.			
+	A17	4,601,576	7/1986	Galbraith			
	A18	4,618,938	10/1986	Sandland et al.			
	A19	4,619,529	10/1986	Iuchi et al.		<u> </u>	
	A20	4,633,504	12/1986	Wihl			
	A21	4,641,967	2/1987	Pecen			
	A22	4,644,172	2/1987	Sandland et al.			
	A23	4,645,929	2/1987	Criegern et al.			
Ī	A24	4,710,030	12/1987	Tauc et al.		····	
	A25	4,733,091	3/1988	Robinson et al.			
$\overline{V}$	A26	4,743,767	. 5/1988	Plumb et al.			
m	A27	4,750,822	6/1988	Rosencwaig et al.			

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DATE CONSIDERED:

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List of Patents and Publications For Applicant's Information APPLICANT: Levy et al.

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		TRAFF	S. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
M	A28	4,766,324	8/1988	Saadat et al.			
A	A29	4,805,123	2/1989	Specht et al.		· -	
	A30	4,812,756	3/1989	Curtis et al.			
	A31	4,818,110	4/1989	Davidson			
	A32	4,842,683	6/1989	Cheng et al.			
	A33	4,845,558	7/1989	Tsai et al.		-	
	A34	4,854,710	8/1989	Opsal et al.			
	A35	4,877,326	10/1989	Chadwick et al.			
	A36	4,898,471	2/1990	Stonestrom et al.			
	A37	4,905,170	2/1990	Forouhi et al.			
	A38	4,912,326	3/1990	Naito			
	A39	4,926,489	5/1990	Danielson et al.			
	A40	4,999,014	3/1991	Gold et al.		· · · ·	
	A41	4,999,578	3/1991	Ohashi et al.			
<b>P</b> - 4.44	A42	5,023,424	6/1991	Vaught		·	
·	A43	5,042,951	8/1991	. Gold et al.		··	
	A44	5,043,589	8/1991	Smedt et al.			
•	A45	5,047,648	9/1991	Fishkin et al.			
	A46	5,076,692	12/1991	Neukermans et al.			
	A47	5,112,129	5/1992	Davidson et al.			
	A48	5,181,080	1/1993	Fanton et al.		·	
	A49	5,189,481	2/1993	Jann et al.			
	A50	5,215,619	6/1993	Cheng et al.			
	A51	5,264,912	11/1993	Vaught et al.			
	A52	5,344,491	9/1994	Katou		<u>-</u>	
Y	A53	5,355,212	10/1994	Wells et al.			
2	A54	5,393,624	2/1995	Ushijima		*	

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### **U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
On	A55	5,401,316	3/1995	Shiraishi et al.		-	-
<u></u>	A56	5,412,473	5/1995	Rosencwaig et al.			
	A57	5,438,313	8/1995	Henderson et al.			
	A58	5,485,091	1/1996	Verkuil			
	A59	5,516,608	5/1996	Hobbs et al.			
	A60	5,517,312	5/1996	Finarov		<del></del>	
	A61	5,537,669	7/1996	Evans et al.			
	A62	5,563,702	10/1996	Emery et al.			
	A63	5,565,979	10/1996	Gross			
	A64	5,572,598	11/1996	Wihl et al.			
	A65	5,581,350	12/1996	Chen et al.			
	A66	5,594,247	1/1997	Verkuil et al.			
	A67	5,596,406	1/1997	Rosencwaig et al.			
	A68	5,596,411	1/1997	Fanton et al.			
	A69	5,604,344	2/1997	Finarov			
	A70	5,604,585	2/1997	Johnson et al.			
	A71	5,614,060	3/1997	Hanawa			
	A72	5,619,548	4/1997	Koppel			
	A73	5,633,747	5/1997	Nikoonahad		•	
	A74	5,641,969	6/1997	Cooke et al.			
	A75	5,644,223	7/1997	Verkuil			
	A76	5,650,731	7/1997	Fung et al.			
	A77	5,652,654	7/1997	Asimopoulos			
	A78	5,682,242	10/1997	Eylon			
	A79	5,684,393	11/1997	Ryat			
V	A80	5,689,614	11/1997	Gronet et al.			
and	A81	5,695,568	12/1997	Sinha et al.			

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### **U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
m	A82	5,699,156	12/1997	Carver			
<b>N</b>	A83	5,712,707	1/1998	Ausschnitt et al.			
	A84	5,730,642	3/1998	Sandhu et al.			
	A85	5,737,072	4/1998	Emery et al.			
	A86	5,740,226	4/1998	Komiya et al.			
	A87	5,747,813	5/1998	Norton et al.			
	A88	5,748,318	5/1998	Maris et al.			
	A89	5,754,297	5/1998	Nulman			
	A90	5,757,507	5/1998	Ausschnitt et al.			
	A91	5,764,365	6/1998	Finarov			
	A92	5,767,693	6/1998	Verkuil			
	A93	5,770,099	6/1998	Rice et al.			
	A94	5,771,094	6/1998	Carter et al.			
· ·	A95	5,798,829	8/1998	Vaez-Iravani		<del></del>	
	A96	5,798,837	8/1998	Aspnes et al.			
	A97	5,805,290	9/1998	Ausschnitt et al.			
	A98	5,822,055	10/1998	Tsai et al.			
	A99	5,825,482	10/1998	Nikoonahad et al.			
	A100	5,831,865	11/1998	Berezin et al.		<u></u>	
	A101	5,844,684	12/1998	Maris et al.		·	
	A102	5,849,136	12/1998	Mintz et al.		·· ·	
	A103	5,859,964	1/1999	Wang et al.			
	A104	5,864,394	1/1999	Jordan, III et al.			
	A105	5,866,437	2/1999	Chen et al.			
	A106	5,867,590	2/1999	Eylon			
V	A107	5,872,633	2/1999	Holzapfel et al.			
m	A108	5,877,859	3/1999	Aspnes et al.		-	

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S.S. PATENT DOCUMENTS

EXAM. INITĮALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
$\overline{}$	A109	5,882,165	3/1999	Maydan et al.	1		
<b>X</b>	A110	5,883,374	3/1999	Mathews			
	A111	5,883,710	3/1999	Nikoonahad et al.			
	A112	5,886,355	3/1999	Bright et al.			
	A113	5,887,085	3/1999	Otsuka			
	A114	5,889,593	3/1999	Bareket			
	A115	5,896,294	4/1999	Chow et al.	1		
	A116	5,900,939	5/1999	Aspnes et al.			
	A117	5,910,011	6/1999	Cruse			
	A118	5,910,842	6/1999	Piwonka-Corle et al.			
	A119	5,914,784	6/1999	Ausschnitt et al.			
	A120	5,917,588	6/1999	Addiego			
	A121	5,917,594	6/1999	Norton			
	A122	5,920,076	7/1999	Burgin et al.			<del> </del>
	A123	5,926,690	7/1999	Toprac et al.			
	A124	5,930,138	7/1999	Lin et al.			<del> </del>
	A125	5,935,338	8/1999	Lei et al.			
	A126	5,935,397	8/1999	Masterson		······································	
	A127	5,943,122	8/1999	Holmes			
	A128	5,955,661	9/1999	Samsavar et al.			
	A129	5,959,735	9/1999	Maris et al.			
	A130	5,959,812	9/1999	Rothermel		•	
	A131	5,963,783	10/1999	Lowell et al.			
	A132	5,964,643	10/1999	Birang et al.		-	
	A133	5,966,312	10/1999	Chen			
V	A134	5,968,691	10/1999	Yoshioka et al.		· · · · · · · · · · · · · · · · · · ·	
m	A135	5,973,323	10/1999	Adler et al.			

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### S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
m	A136	5,973,787	10/1999	Aspnes et al.			
V	A137	5,976,310	11/1999	Levy		-	
	A138	5,978,074	11/1999	Opsal et al.			
	A139	5,982,482	11/1999	Nelson et al.			
	A140	5,985,497	11/1999	Phan et al.			
	A141	6,008,906	12/1999	Maris			
	A142	6,012,966	1/2000	Ban et al.			
	A143	6,020,214	2/2000	Watanabe et al.			
	A144	6,020,957	2/2000	Rosengaus et al.		,	
	A145	6,023,338	2/2000	Bareket			
	A146	6,025,918	2/2000	Maris			
	A147	6,038,029	3/2000	Finarov			
	A148	6,039,848	3/2000	Moslehi et al.			
	A149	6,040,198	3/2000	Komiya et al.			1
	A150	6,045,433	4/2000	Dvir et al.			
	A151	6,052,478	4/2000	Wihl et al.			
	A152	6,060,715	5/2000	England et al.			
	A153	6,064,517	5/2000	Chuang et al.			
	A154	6,072,147	6/2000	Koshiishi et al.			
	A155	6,072,178	6/2000	Mizuno			
	A156	6,072,320	6/2000	Verkuil			
	A157	6,074,518	6/2000	Imafuku et al.			
	A158	6,078,045	6/2000	Maul et al.			
	A159	6,078,386	6/2000	Tsai et al.			
	A160	6,080,287	6/2000	Drewery et al.			
V	A161	6,081,325	6/2000	Leslie et al.			
lem	A162	6,083,363	7/2000	Ashtiani et al.		-	

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		PATENT DOCUMENTS										
;	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE					
	A163	6,084,679	7/2000	Steffan et al.								
	A164	6,086,737	7/2000	Patonay et al.								

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pml	A163	6,084,679	7/2000	Steffan et al.			
<b>1</b>	A164	6,086,737	7/2000	Patonay et al.			
	A165	6,089,181	7/2000	Suemasa et al.			
	A166	6,093,625	7/2000	Wagner et al.			
	A167	6,097,555	8/2000	Lehmann et al.			
	A168	6,099,705	8/2000	Chen et al.			
	A169	6,101,971	8/2000	Denholm et al.			
	A170	6,103,014	8/2000	Lei et al.			
	A171	6,107,629	8/2000	Benninghoven et al.			
	A172	6,108,087	8/2000	Nikoonahad et al.			
	A173	6,110,287	8/2000	Arai et al.			
	A174	6,112,697	9/2000	Sharan et al.			
	A175	6,114,216	9/2000	Yieh et al.			
,	A176	6,122,046	9/2000	Almogy			
	A177	6,124,924	9/2000	Feldman et al.		_	
	A178	6,159,073	12/2000	Wiswesser et al.			
	A179	6,172,349	1/2001	Katz et al.			
	A180	6,175,416	1/2001	Maris et al.		-	
	A181	6,175,645	1/2001	Elyasaf et al.			
	A182	6,178,257	1/2001	Alumot et al.			
	A183	6,179,709	1/2001	Redeker et al.			
	A184	6,184,984	2/2001	Lee et al.			
	A185	6,191,605	2/2001	Miller et al.			
	A186	6,191,855	2/2001	Maris			
	A187	6,199,157	3/2001	Dov et al.			
Ψ,	A188	6,201,601	3/2001	Vaez-Iravani et al.			
m	A189	6,201,998	3/2001	Lin et al.			

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		Water Trade	8. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
m	A190	6,201,999	3/2001	Jevtic			
<b>1</b>	A191	6,204,917	3/2001	Smedt			
	A192	6,208,418	3/2001	Maris			
	A193	6,208,421	3/2001	Maris et al.			
	A194	6,208,751	3/2001	Almogy			
	A195	6,211,961	4/2001	Maris			
	A196	6,212,691	4/2001	Heberer			
	A197	6,215,551	4/2001	Nikoonahad et al.			
Y	A198	6,224,638	5/2001	Jevtic et al.			
m	A199	6,256,097	7/2001	Wagner			
**		FOR	EIGN PATE	NT DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
		OTHER ART (In	cluding Auth	or, Title, Date, Pertinent Pa	ages, Etc.)	)	
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Disclosure Statement (Use several sheets if necessary)

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	YES/NO
m	B1	0 993 019	4/2000	EP	1		
$\Lambda$	B2	1 022 093	7/2000	EP			
	В3	1 061 358	12/2000	EP			
	B4	1 061 571	12/2000	EP			
	B5	1 065 567	1/2001	EP			
	В6	1 066 925	1/2001	EP	<b></b>		
	В7	1 069 609	1/2001	EP			
	B8	1 071 128	1/2001	EP		<u> </u>	
	B9	1 072 894	1/2001	EP			
	B10	1 072 967	1/2001	EP			
	B11	1 081 489	3/2001	EP			
	B12	1 081 741	3/2001	EP		\ /	
	B13	1 081 742	3/2001	EP		V	
	B14	1 083 424	3/2001	EP		Λ	
	B15	1 093 017	4/2001	EP		/\	
	B16	98/57358	12/1998	WO			
	B17	99/02970	1/1999	WO			
	B18	99/03133	1/1999	WO			
	B19	99/23449	5/1999	WO			
	B20	99/25004	5/1999	WO			
	B21	99/31490	6/1999	WO			
	B22	99/38002	7/1999	WO	$\perp$ /		
	B23	99/39183	8/1999	WO			
	B24	99/41434	8/1999	WO			
	B25	99/45340	9/1999	WO			
	B26	99/54926	10/1999	wo			
leng	B27	99/59200	11/1999	WO	/		

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EXAM. INITI <b>A</b> LS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
Bu	B28	99/60614	11/1999	WO	\		
$\overline{\Lambda}$	B29	99/65056	12/1999	WO			
	B30	99/67626	12/1999	WO		-	
	B31	00/00873	1/2000	WO			1
	B32	00/00874	1/2000	WO			1
	B33	00/02037	1/2000	WO	V		
	B34	00/03234	1/2000	WO		<u> </u>	1
	B35	00/03421	1/2000	WO			
	B36	00/07226	2/2000	WO		1	1
	B37	00/15870	3/2000	WO		1	/
	B38	00/17907	3/2000	WO			/
	B39	00/18543	4/2000	WO			/
	B40	00/26609	5/2000	WO			
	B41	00/26613	5/2000	WO		Υ	
	B42	00/26646	5/2000	WO		$\overline{}$	
	B43	00/28577	5/2000	WO			
	B44	00/60657	10/2000	WO			1
	B45	00/68673	11/2000	WO			
	B46	00/70332	11/2000	wo			
	B47	00/70646	11/2000	WO		1	1
	B48	00/77500	12/2000	WO		/	
	B49	01/03145	1/2001	WO		<del></del>	
-	B50	01/09566	2/2001	WO	/		
	B51	01/13098	2/2001	WO	<del>                                     </del>		1
	B52	01/14925	3/2001	WO	<del>                                     </del>		

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		U	I.S. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE II APPROPRIATE
By	C1	4,511,800	4/1985	Harbeke et al.			
Λ	C2	4,770,536	9/1988	Golberstein			
7	C3	4,807,994	2/1989	Felch et al.			
7	C4	4,899,055	2/1990	Adams			
	C5	5,074,669	12/1991	Opsal			
	C6	5,520,769	5/1996	Barrett et al.			
	C7	5,608,526	3/1997	Piwonka-Corle et al.			
	C8	5,859,424	1/1999	Norton et al.			
V	C9	6,052,185	4/2000	Banet et al.		······································	
and	C10	6,141,103	10/2000	Pinaton et al.			
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		FOR	EIGN PATE	ENT DOCUMENTS		-	
EXAM. INITĮALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
m	C11	99/59182	11/1999	wo			
m	C12	00/02229	1/2000	WO			·
		OTHER ART (1	Including Auth	 or, Title, Date, Pertinent Pa	ages, Etc.)		<u> </u>
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EXAMINER: D. J. M Would

DATE CONSIDERED: 20 May 2004

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ATTY. DKT. NO. 5589-02306

SERIAL NO. 09/957,450

APPLICANT: Levy et al.

**GROUP: 2812** 

FILING DATE: September 20, 2001

		U	.S. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>8</i> 2	Dl	4631416	12/1986	Trutna, Jr.			
À	D2	5114235	5/1992	Suda et al.			
7	D3	5182455	1/1993	Muraki			
	D4	5182610	1/1993	Shibata			
	D5	5189494	2/1993	Muraki			
	D6	5316984	5/1994	Leourx .			
	D7	5327221	7/1994	Saitoh et al.			
	D8	5340992	8/1994	Matsugu et al.			
	D9	5414514	5/1995	Smith et al.			
	D10	5666196	9/1997	Ishii et al.		-	
	D11	5783342	7/1998	Yamashita et al.			
	D12	5801390	9/1998	Shiraishi			
	D13	6046094	4/2000	Jost et al.			
	D14	6077756	6/2000	Lin et al.		···	
	D15	6079256	6/2000	Bareket			
	D16	6128089	10/2000	Ausschnitt et al.			
	D17	6153886	11/2000	Hagiwara et al.		· - · · ·	
	D18	6177330	1/2001	Yasuda			
	D19	6255189	7/2001	Muller et al.			
	D20	6301011	10/2001	Fung et al.			
	D21	6388253	5/2002	Su			
	D22	6421124	7/2002	Matsumoto et al.			
	D23	6462818	10/2002	Bareket			
	D24	6476920	11/2002	Scheiner et al.		· ··-	
	D25	6486492	11/2002	Su			
V	D26	6486954	11/2002	Mieher et al.			
m	D27	2002/0018217	2/2002	Weber-Grabau et al.			

**EXAMINER:** 

DATE CONSIDERED: 20 MAY 2004

٠٠٠ م م م Form PTO-1449 (months)P & ATTY. DKT. NO. 5589-02306 SERIAL NO. 09/957,450 List of Patents and Publications For Applicant's Information APPLICANT: Levy et al. **GROUP: 2812** Disclosure Stater en MAR 3 1 2003 (Use several sheets if necessary) FILING DATE: September 20, 2001 S. PATENT DOCUMENTS EXAM. REF. DOCUMENT NUMBER DATE **CLASS** FILING DATE IF NAME **SUB** APPROPRIATE INITIALS DES. **CLASS** D28 2002/0149782 10/2002 Raymond D29 10/2002 2002/0158193 Sezginer et al. D30 2002/0192577 12/2002 Fay et al. FOREIGN PATENT DOCUMENTS TRANSLATION REF. **DOCUMENT NUMBER** DATE **COUNTRY CLASS** EXAM. SUB YES/NO **INITIALS** DES. **CLASS** D31 02/15238 2/2002 WO 3/2002 D32 02/25723 WO D33 02/069390 9/2002 WO OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) D34 McNeil et al., "Scatterometry Applied to Microelectronics Processing," Microlithography World, November/ December 1992, pp. 16-22. D35 Raymond et al., "Metrology of subwavelength photoresist gratings using optical scatterometry," J. Vac. Sci. Technol. B 13(4), Jul/Aug 1995, pp. 1484-1495. Wittekoek et al., "In-process Image Detecting Technique for Determination of Overlay, and Image Quality for D36 ASM-L Wafer Stepper," SPIE Vol. 1674 Optical/Laser Microlithography V (1992), pp. 594-608.

EXAMINER: Nulually	DATE CONSIDERED: 20 MAY 2004

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ATTY. DKT. NO. 5589-02306

APPLICANT: Levy et al

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FILING DATE: September 2022001

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By	El	5,438,413	8/1995	Mazor et al.			
<u> </u>	E2	5,574,278	11/1996	Poirier			
	E3	5,703,692	12/1997	McNeil et al.		····	
	E4	5,798,529	8/1998	Wagner			
	E5	5,923,423	7/1999	Sawatari et al.			
	E6	5,963,314	10/1999	Worster et al.			
	E7	6,052,188	4/2000	Fluckiger et al.			
	E8	6,097,205	8/2000	Liberman et al.			
	E9	6,157,032	12/2000	Into			
	E10	6,166,801	12/2000	Dishon et al.			
	E11	6,174,743	1/2001	Pangrle et al.			
	E12	6,175,421	1/2001	Fuchs et al.			
	E13	6,188,478	2/2001	Fuchs et al.			
	E14	6,191,846	2/2001	Opsal et al.			
	E15	6,250,143	6/2001	Bindell et al.			
	E16	6,258,610	7/2001	Blatchford et al.			
	E17	6,266,144	7/2001	Li			
	E18	6,320,666	11/2001	Opsal et al.			
	E19	US 2001/0052257	12/2001	Magerle			
	E20	US 2002/0017619	2/2002	Hirose et al.			
	E21	6,383,824	5/2002	Lensing			
	E22	6,408,048	6/2002	Opsal et al.		-	
	E23	6,451,621	9/2002	Rangarajan et al.		<del></del>	
$-\check{\lambda}$	E24	6,452,685	9/2002	Opsal et al.			
m	E25	6,462,817	10/2002	Strocchia-Rivera		·	

EXAMINER: Dy-nwahl

DATE CONSIDERED: 20 MAY 2004